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PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/618884-Conf. #5880
	Filing Date	July 14, 2003
	First Named Inventor	Victor E. Shashoua
	Art Unit	1614
	Examiner Name	D. A. Jagoe
Total Number of Pages in This Submission	Attorney Docket Number	N0260.70058US00

**ENCLOSURES (Check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): Return Receipt Postcard Check in the amount of \$180.00
<div>Remarks</div>		

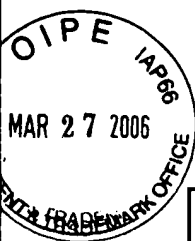
**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	WOLF, GREENFIELD & SACKS, P.C.		
Signature			
Printed name	Roque El-Hayek		
Date	March 22, 2006	Reg. No.	55,151

**Certificate of Mailing Under 37 CFR 1.8(a)**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: 3/22/06 Signature: (Angela M. Griffith)



PTO/SB/17 (12-04v2)

Approved for use through 7/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number.

<b>Effective on 12/08/2004.</b> Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). <b>FEE TRANSMITTAL</b> <b>For FY 2005</b>		<b>Complete if Known</b>	
		Application Number	10/618884-Conf. #5880
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Filing Date	July 14, 2003
<b>TOTAL AMOUNT OF PAYMENT</b> (\$) <b>180.00</b>		First Named Inventor	Victor E. Shashoua
		Examiner Name	D. A. Jagoe
		Art Unit	1614
		Attorney Docket No.	N0260.70058US00

**METHOD OF PAYMENT** (check all that apply)

<input checked="" type="checkbox"/> Check	<input type="checkbox"/> Credit Card	<input type="checkbox"/> Money Order	<input type="checkbox"/> None	<input type="checkbox"/> Other (please identify): _____
<input type="checkbox"/> Deposit Account	Deposit Account Number: <u>23/2825</u> Deposit Account Name: <u>Wolf, Greenfield &amp; Sacks, P.C.</u>			
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)				
<input type="checkbox"/> Charge fee(s) indicated below	<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee			
<input checked="" type="checkbox"/> Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17	<input checked="" type="checkbox"/> Credit any overpayments			

**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

<u>Total Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	<u>Multiple Dependent Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
_____ - 20 = _____	x _____	= _____				
<u>Indep. Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>			
_____ - 3 = _____	x _____	= _____				

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
_____ - 100 = _____	/50	_____ (round up to a whole number) x _____	= _____	

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): 1806 Submission of an Information Disclosure Statement 180.00

<b>SUBMITTED BY</b>			
Signature	<u>Roque El-Hayek</u>	Registration No. (Attorney/Agent)	55,151
Name (Print/Type)	Roque El-Hayek	Telephone	(617) 646-8308
		Date	<u>March 22, 2006</u>

**Certificate of Mailing Under 37 CFR 1.8(a)**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: 3/22/06Signature: Angela M. Griffith (Angela M. Griffith)



DOCKET NO.: N0260.70058US00

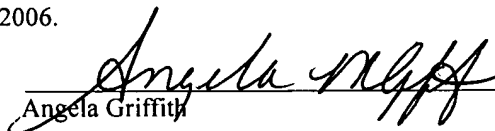
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Victor E. Shashoua et al.  
Serial No.: 10/618,884  
Confirmation No.: 5880  
Filed: July 14, 2003  
For: DHA-PHARMACEUTICAL AGENT CONJUGATES OF  
TAXANES  
Examiner: Donna A. Jagoe  
Art Unit: 1614

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 27th day of March, 2006.

  
Angela Griffith

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**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

STATEMENT FILED PURSUANT TO THE DUTY OF  
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed more than three months after the filing date of this application and after the mailing date of the first Office action, but before the mailing date of any final action under 37 C.F.R. §1.113, a Notice of Allowance under 37 C.F.R. §1.311, or an action that otherwise closes prosecution in this application.

The fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) is enclosed.

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified PTO/SB/08). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant hereby makes the following additional information of record in the above-identified application.

The Applicant would like to bring to the Examiner's attention the following co-pending applications that may contain subject matter related to this application:

<u>Serial No.</u>	<u>Filing Date</u>	<u>Inventor(s)</u>	<u>Atty Docket No.</u>
10/107,537	March 25, 2002	Swindell, et al.	N0260.70051US00
10/108,255	March 25, 2002	Swindell, et al.	N0260.70052US00
10/455,250	June 5, 2003	Nigel L. Webb, et al.	N0260.70064US00

PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 (modified PTO/SB/08) be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

Serial No.: 10/618,884  
Conf. No.: 5880

- 3 -

Art Unit: 1614

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

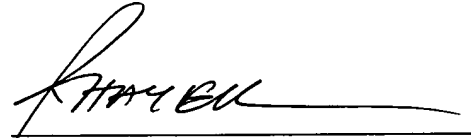
By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his or her own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

By:



Roque El-Hayek, Reg. No. 55,151  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, Massachusetts 02210-2206  
Telephone: (617) 646-8000

Docket No.: N0260.70058US00  
Date: March 22, 2006  
xNDDx



<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				APPLICATION NO.: 10/618,884		ATTY. DOCKET NO.: N0260.70058US00	
				FILING DATE: July 14, 2003		CONFIRMATION NO.: 5880	
				APPLICANT: Victor E. Shashoua et al.			
				GROUP ART UNIT: 1614		EXAMINER: Donna A. Jagoe	
Sheet	1	of	7				

#### U.S. PATENT DOCUMENTS

Examiner's Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		

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FORM PTO-1449/A and B (Modified)  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				APPLICATION NO.: 10/618,884		ATTY. DOCKET NO.: N0260.70058US00	
				FILING DATE: July 14, 2003		CONFIRMATION NO.: 5880	
				APPLICANT: Victor E. Shashoua et al.			
				GROUP ART UNIT: 1614		EXAMINER: Donna A. Jagoe	
Sheet	2	of	7				

**FOREIGN PATENT DOCUMENTS**

Examiner's Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/ Country	Number	Kind Code			
	*	AU	770519		Protarga, Inc.	04/05/2001	
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FORM PTO-1449/A and B (Modified)  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				APPLICATION NO.: 10/618,884		ATTY. DOCKET NO.: N0260.70058US00	
				FILING DATE: July 14, 2003		CONFIRMATION NO.: 5880	
				APPLICANT: Victor E. Shashoua et al.			
				GROUP ART UNIT: 1614		EXAMINER: Donna A. Jagoe	
Sheet	3	of	7				

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	*	WO	93/1168		Schering Aktiengesellschaft Berling Underbergkamen	06/24/1993	

#### OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
	*	AIHARA, M. et al., "Effects of liposteroid on skin lesions in autoimmune MRLlpr/lpr mice," <i>Journal of Dermatological Science</i> , 16, 1997, pp. 45-51.	
	*	FUNAUCHI, M. et al., "Effects of liposteroid on the hemophagocytic syndrome in systemic lupus erythematosus," <i>Lupus</i> , 12, 2003, pp. 483-485.	
	*	HAZARD Database, "Chemical Tertogens, Carcinogens, Mutagens: Dexamethasone palmitate," <a href="http://www.evol.nw.ru/labs/lab38/spirov/hazard/dexamethasone_palmitate.html">http://www.evol.nw.ru/labs/lab38/spirov/hazard/dexamethasone_palmitate.html</a> , printed from website on August 31, 2004.	
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	*	YAMATSU et al., "Polyprenyl Carboxylic Acid Amides," <i>Chemical Abstracts</i> , Vol. 100, No. 19 issued 7 May 1984, p. 555, Abstract No. 156839z, EP91, 694, dated 19 October 1983.	
	*	YOKOYAMA, K. et al., "Development of a Corticosteroid Incorporated in Lipid Microspheres (Liposteroid)," <i>Drugs Exptl. Clin. Res.</i> , XI(9), 1985, pp. 611-620.	
	*	"Cytosar-U cytarabine for injection, USP," Product insert, 2/2002.	
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	*	ALTHAUS, I.W. et al., "The amphiphilic properties of novenamides determine their activity as inhibitors of HIV-1 RNase H," <i>Experientia</i> , Vol. 52, 1996, pp. 329-335, XP008039697.	
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FORM PTO-1449/A and B (Modified)  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				APPLICATION NO.: 10/618,884		ATTY. DOCKET NO.: N0260.70058US00	
				FILING DATE: July 14, 2003		CONFIRMATION NO.: 5880	
				APPLICANT: Victor E. Shashoua et al.			
				GROUP ART UNIT: 1614		EXAMINER: Donna A. Jagoe	
Sheet	4	of	7				

**OTHER ART — NON PATENT LITERATURE DOCUMENTS**

	*	BRIDGES, A.J. et al., "N <sup>6</sup> -(2,2-Diphenylethyl)adenosine, a Novel Adenosine Receptor Agonist with Antipsychotic-like Activity", <i>J. Med. Chem.</i> 30:1709-1711 (1987)		
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	*	DATABASE BEILSTEIN, <i>Beilstein Institute for Organic Chemistry</i> , Frankfurt-Main, DE, 29 June 1989, XP002311506, Database accession no. 2146750 (BRN).		
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	*	DATABASE BEILSTEIN, <i>Beilstein Institute for Organic Chemistry</i> , Frankfurt-Main, DE, 29 June 1989, XP002311508, Database accession no. 2270240 (BRN).		
	*	DATABASE BEILSTEIN: 29 June 1989 (1989-06-29), XP002329550; Database accession no. 2199386 (BRN), Abstract & SU 477 159 A (STREL'TSOV, BLIZNYUK) 1976		
	*	DATABASE HCAPLUS ACS, 6 September 1991, XP002311505, retrieved from STN, Database accession no. 115:91935/DN, RN 2411-58-7, 135346-35-9, 7418-03-3.		
	*	DATABASE HCAPLUS ACS: 11 October 2000 (2000-10-11); XP002329554; Retrieve from STN Database accession no. 134:193273/DN, Abstract, RN327082-01-9; & CHEN, ZAI-XIN ET AL: ZHONGGUO YIYAO GONGYE ZAZHI BIANJIBU, vol 31, no. 6, 2000, pages 265-268		
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\*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. 10/107,537, filed March 25, 2002, and/or Serial No. 10/108255, filed March 25, 2002, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

[NOTE - The Office hereby waives the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC 371 after June 30, 2003. See 37 CFR 1.491(b). For all patent applications filed on or before June 30, 2003, copies of cited U.S. patents and patent application publications are still required unless an eIDS is filed. Copies of all other patent(s), publication(s), or other information listed must still be provided, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]